



ICIs Application Before and After Liver Transplant: Where Are We?

A/Prof. Kang He, M.D. Ph.D.

Department of Liver Surgery, Renji Hospital,
Shanghai Jiao Tong University School of Medicine, Shanghai



Contents



01

Introduction to ICIs for HCC

02

ICIs as Neoadjuvant Therapy of HCC *Before* Liver Transplantation

03

ICIs for Malignancies *After* Liver Transplantation



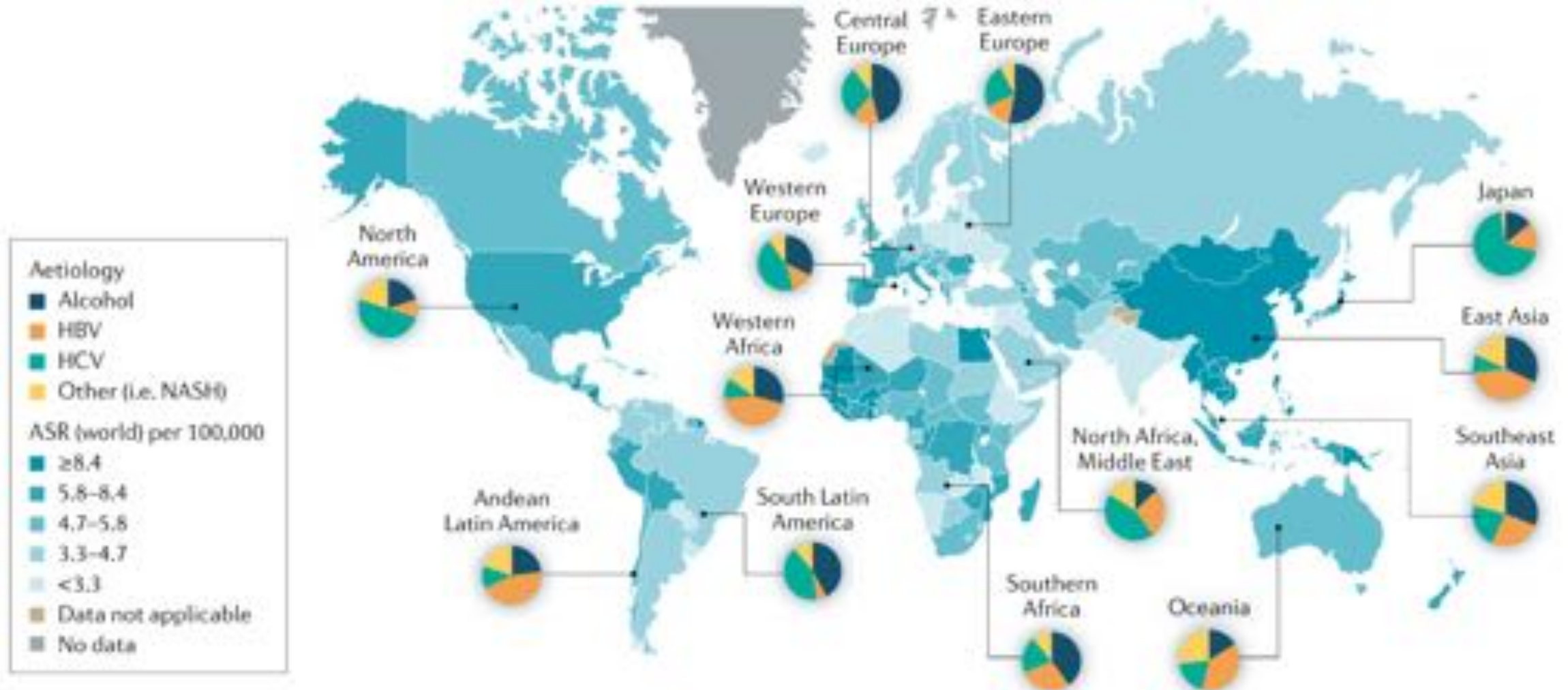
Section 1

Introduction to ICIs for HCC

Overview of HCC

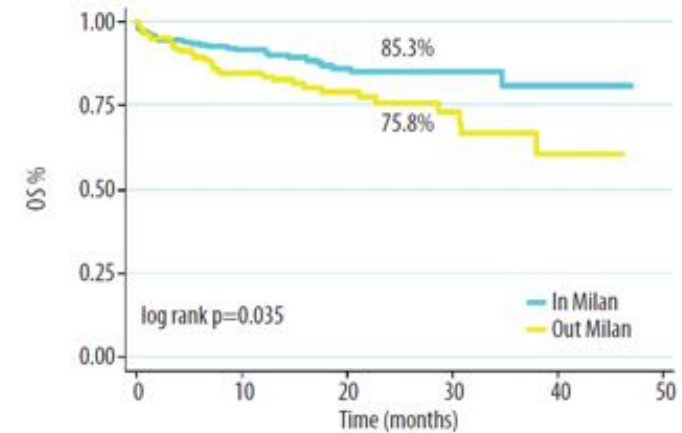
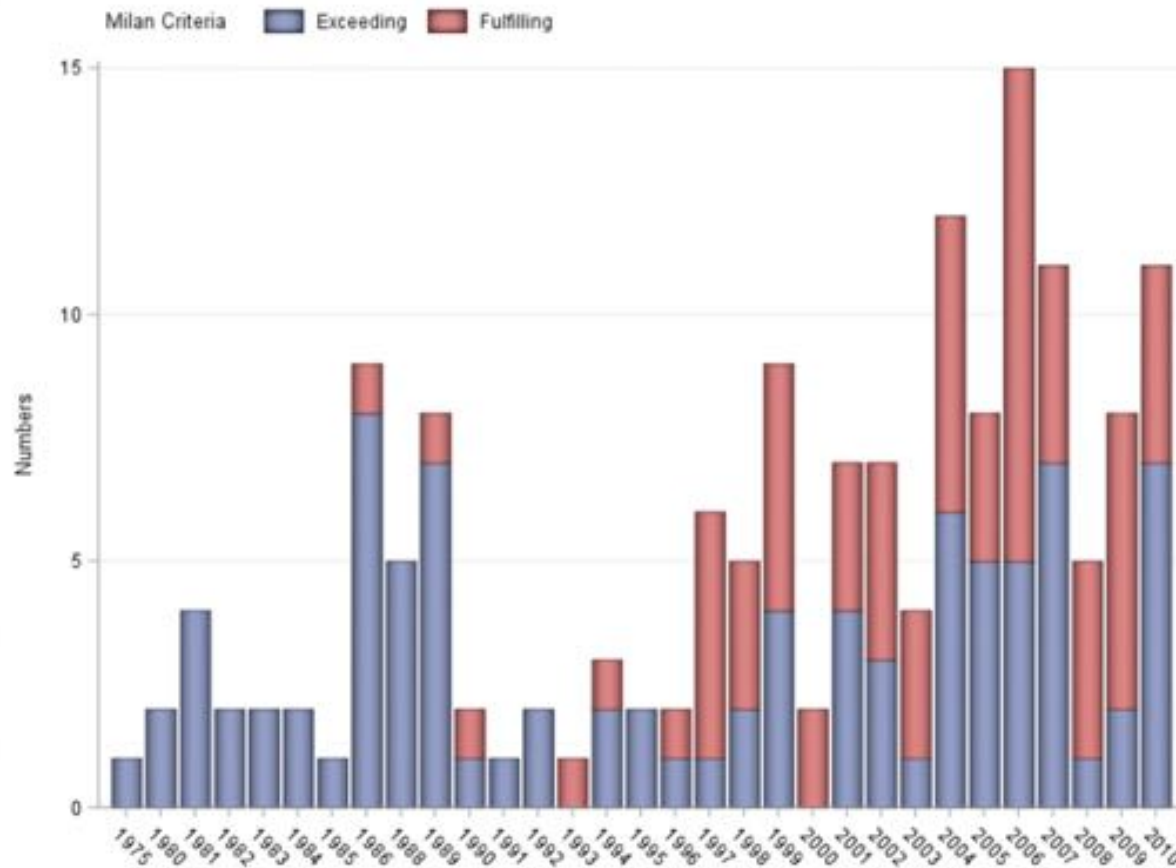


By 2025, hepatocellular carcinoma will affect > 1,000,000 individuals annually

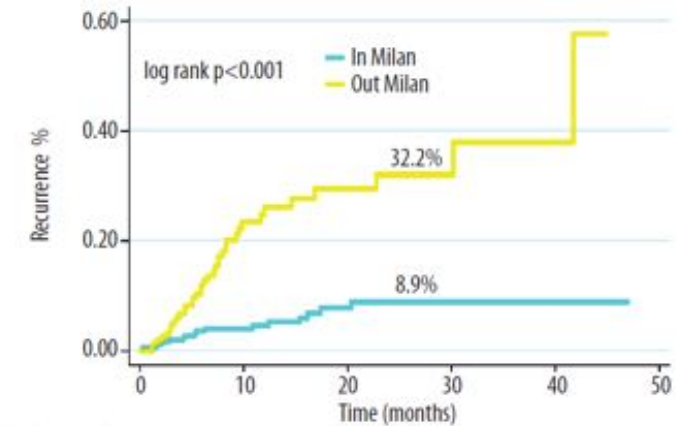


Overview of HCC

Half of HCC patients exceeded Milan Criteria, leading to poorer LT outcomes.



Number at risk						
In Milan	365	175	91	33	9	0
Out Milan	224	104	52	23	9	0

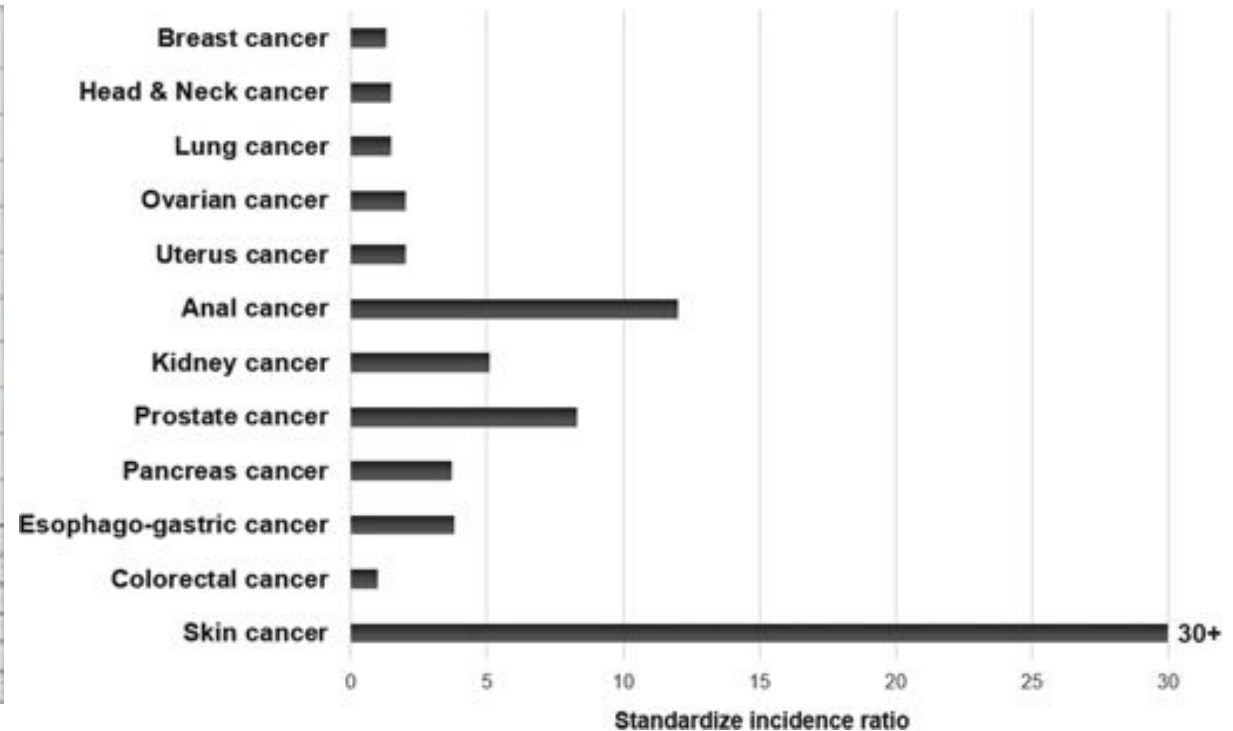
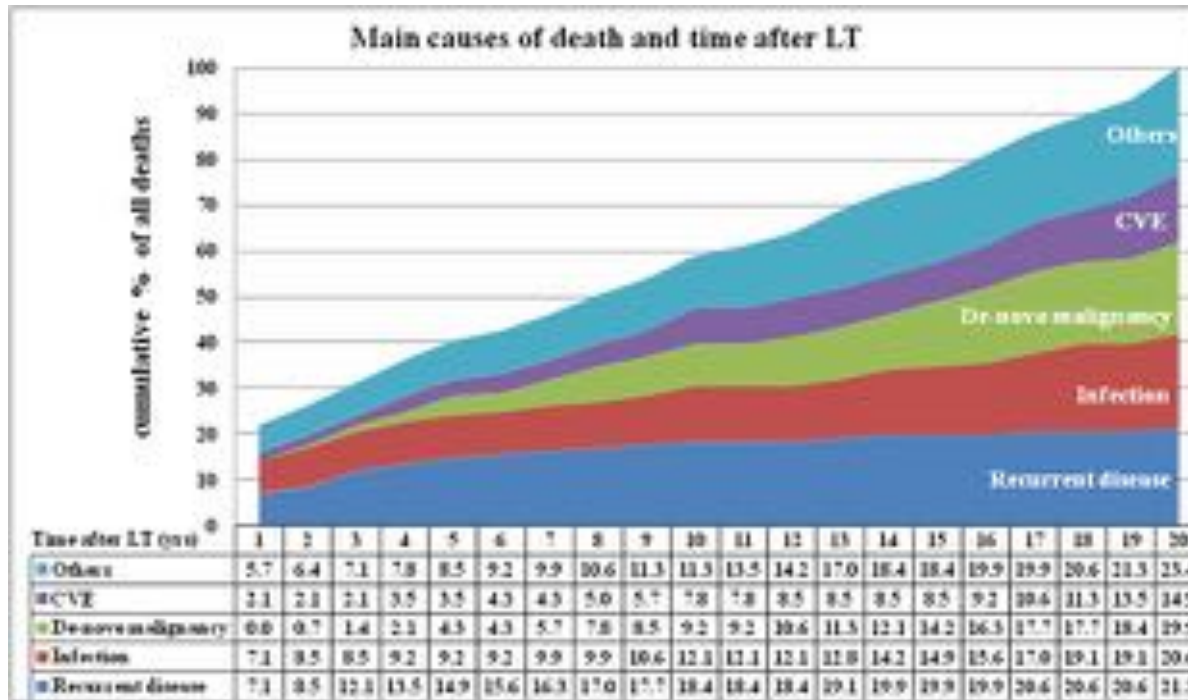


Number at risk						
In Milan	365	171	89	33	9	0
Out Milan	224	85	44	18	7	0

Overview of HCC

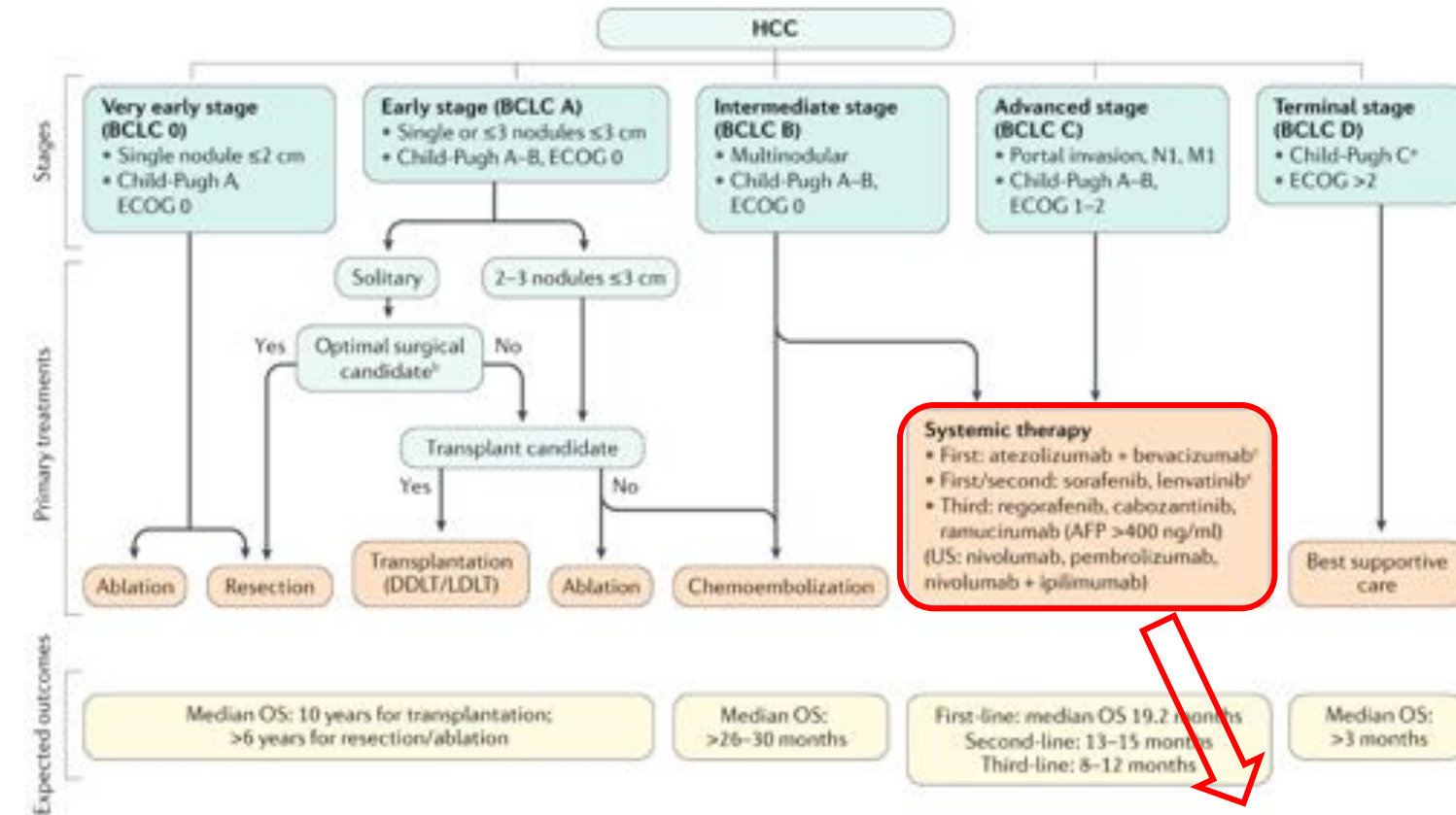


Patients also suffer from HCC recurrence and/or *de novo* malignancies after LT

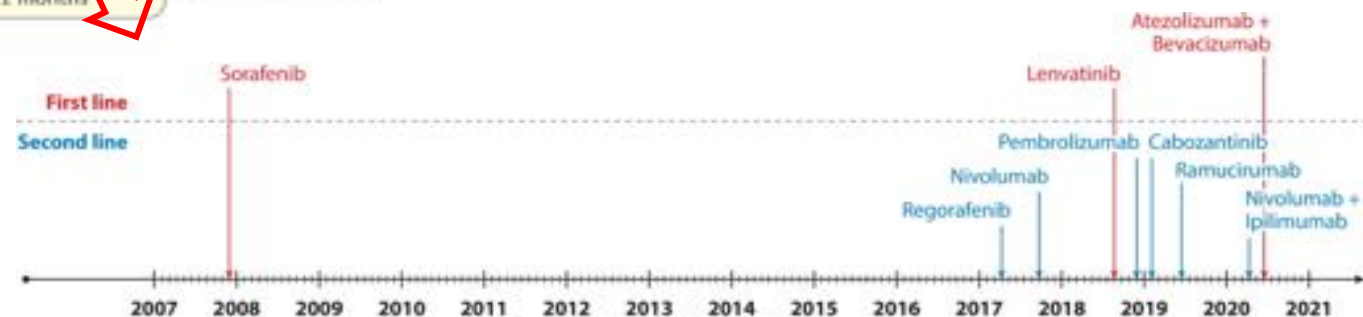


Peri-transplant management of HCC as well as other malignancies are necessary

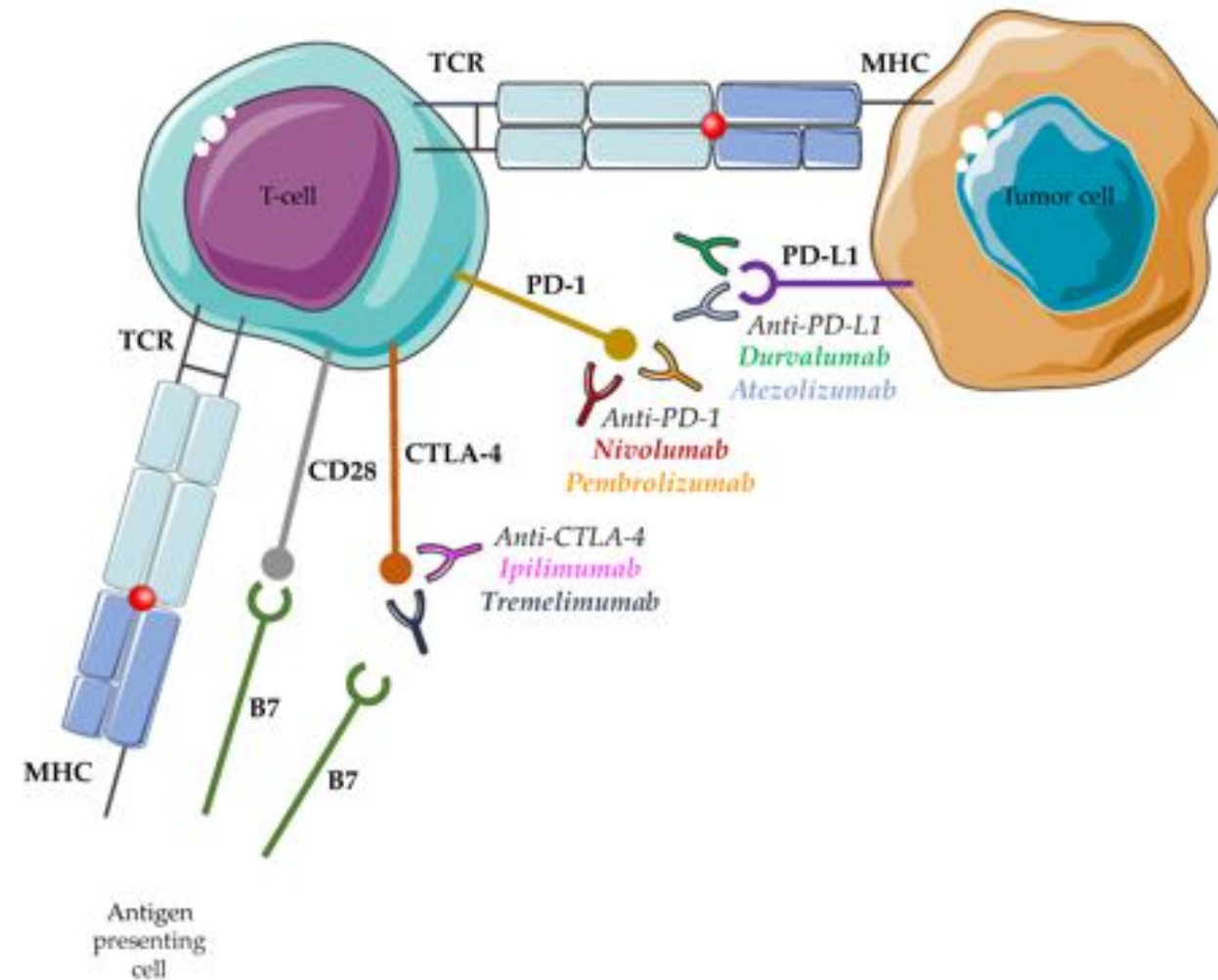
Systemic Treatment of HCC



Treatment strategy of HCC



Mechanisms of ICIs



Up-to-date FDA Approved ICIs in HCC

Drug	Target	Apv. Dat.	Usage
Nivolumab	PD-1	2017	2 nd line
Pembrolizumab	PD-1	2018	2 nd line
Ipilimumab	CTLA-4	2020	2 nd line (combo. Nivolumab)
Atezolizumab	PD-L1	2020	1 st line (combo. Bevacizumab)
Tremelimumab	CTLA-4	2022	2 nd line
Durvalumab	PD-L1		

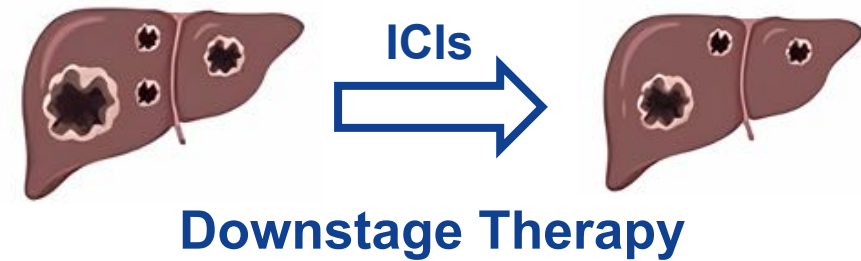
Combining Liver Transplant with ICIs



ICIs exhibited promising prospects in the peri-transplant management of HCC

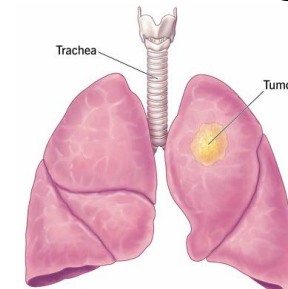
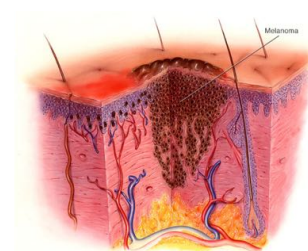
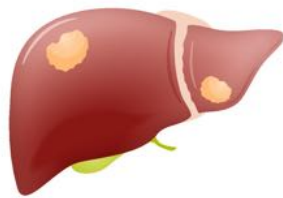
□ Scheme 1:

Pre-operative use of ICIs to help HCC patients meet the Milan Criteria



□ Scheme 2:

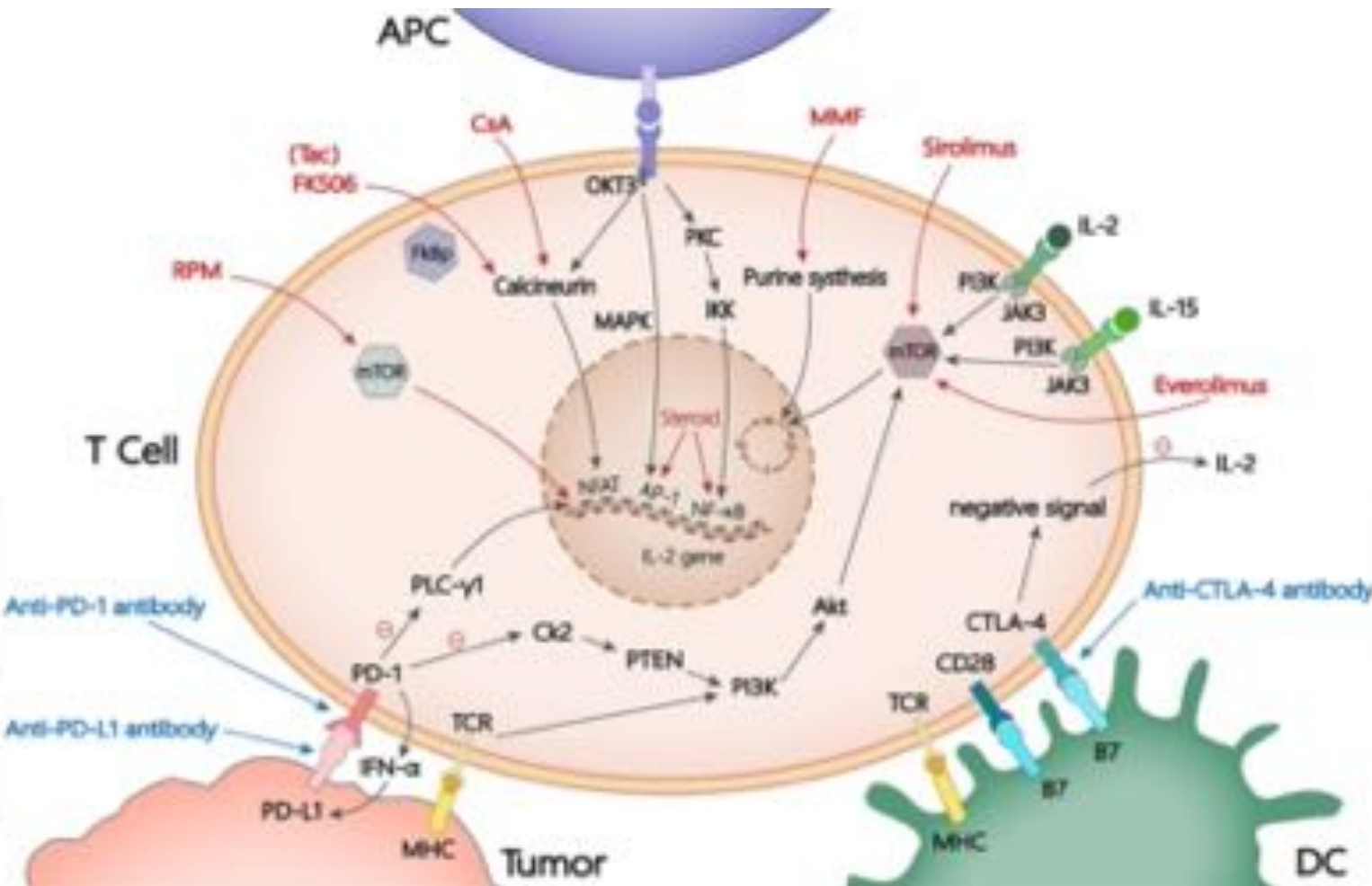
Post-operative use of ICIs to manage recurrent and/or *de novo* malignancies



Combining Liver Transplant with ICIs



Safety and **Efficacy** needs to be evaluated for the peri-transplant use of ICIs



Immunosuppressants
to protect from rejection



ICIs
for anti-tumor immunotherapy



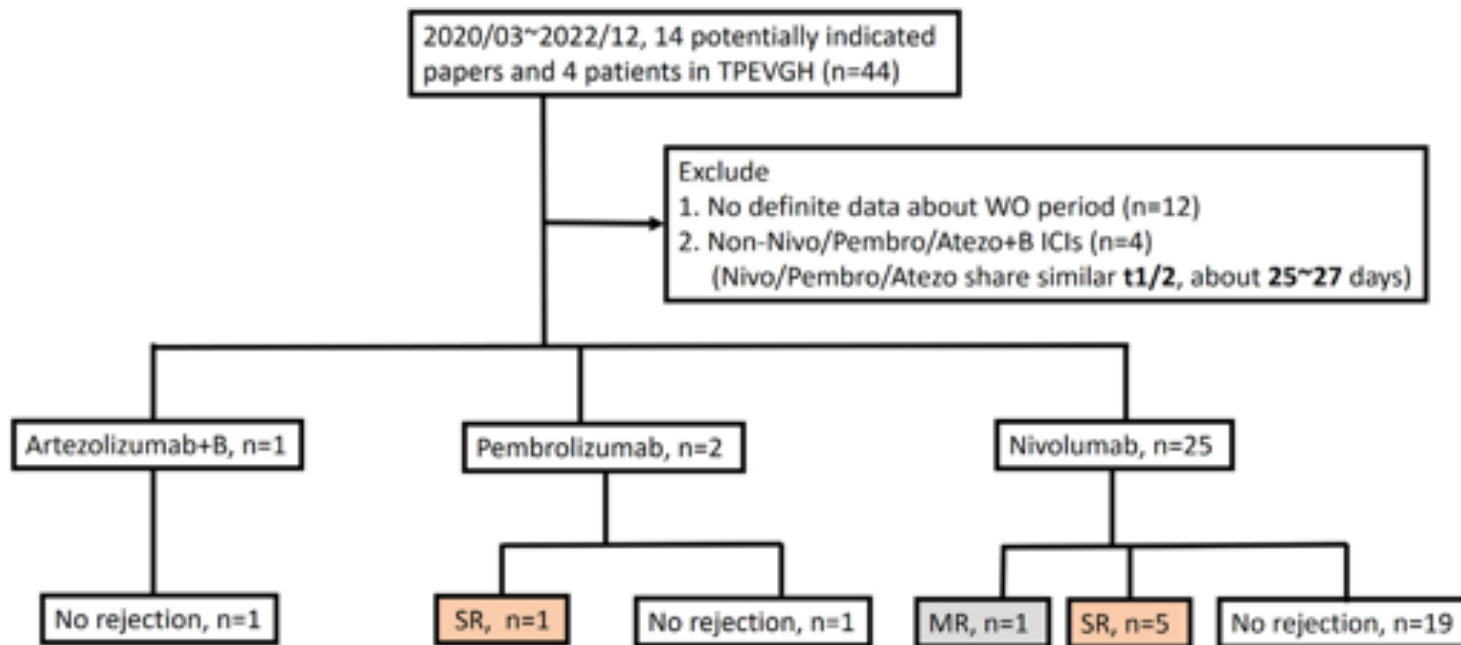
Section 2

ICIs as Neoadjuvant Therapy of HCC *Before* Liver Transplantation

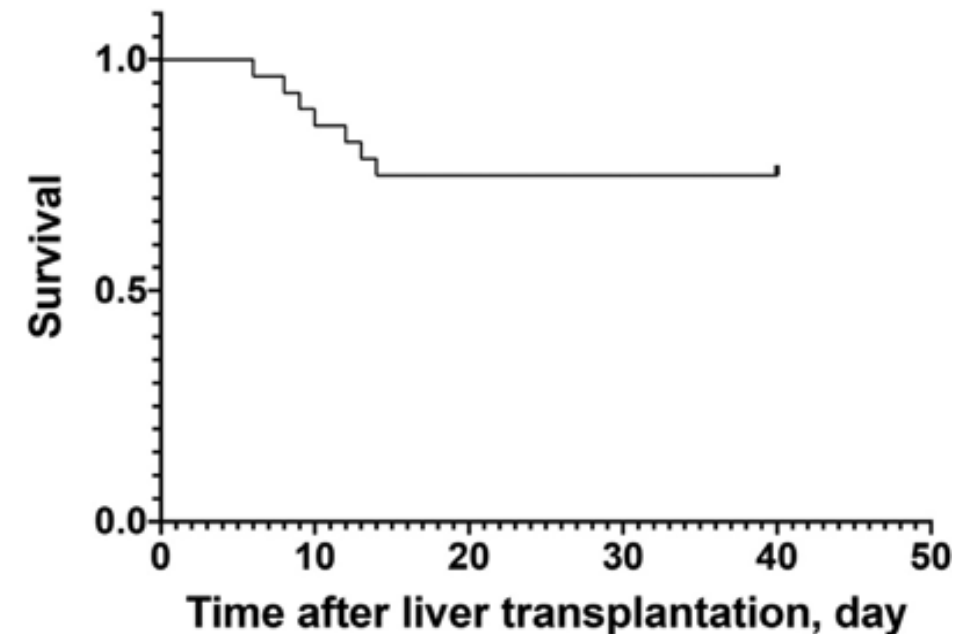
Safety: Allograft Rejection (AR)



7 out of 44 (15.9%) patients who received pre-LT ICIs had AR



Rejection-free survival



Safety: Allograft Rejection (AR)



Most of the rejection patients (6/7) can be rescued by adjusting **immunosuppressant regimens and/or re-transplantation**

Status	Induction	Regimen	WO time	BPAR time	Management	Result	Reference
1 SR	MTP 500 mg	Nivolumab	8 d	6 d	MTP/rATG	Death	Am J Transplant. 2020;20:879–883
2 MR	MTP 500 mg	Nivolumab	22 d	NA	Increase Tacrolimus level	Alive	Am J Transplant. 2021;21:1979–1980
3 SR × 2	MTP (NA)	Nivolumab	35 d	12/16 d	1. MTP/rATG/PE/IVIG 2. MTP	Re-Tx, alive	Clin J Gastroenterol. 2021;14:1718–1724
4 SR	MTP 1000 mg	Nivolumab	35 d	12 d	MTP/rATG/PE/IVIG	Re-Tx, alive	Am J Transplant. 2022;22:1699–1704
5 SR	MTP 1000 mg, rATG 3 mg/kg	Nivolumab	10 d	14 d	MTP/rATG/ Rituximab/IVIG	Alive	Am J Transplant. 2022;22:1699–1704
6 SR	MTP (NA)	Nivolumab	16 d	9 d	MTP/rATG	Alive	Transplant Direct. 2022;8:e1304
7 SR	MTP 500 mg	Pembrolizumab	30 d	13 d	MTP/PE	Alive	TPEVGH

BPAR, biopsy-proven acute rejection; IVIG, intravenous immunoglobulin; MR, mild rejection; MTP, methylprednisolone; PE, plasma exchange; rATG, rabbit antithymocyte globulin; SR, severe rejection; TPEVGH, taipei veterans general hospital; Tx, transplant; WO, washout.

Efficacy: Response Rate and Recurrence



- ❑ **67%~71%** patients had at least **partial remission (PR)** to neoadjuvant PD-1 blockade
- ❑ **8.3%** patients had tumor recurrence after LT

Reference	n	ICIs	Recurrence
Schwacha-Eipper et al., 2020	1	Nivolumab	No
Chen et al., 2021	5	Nivolumab	2/5, Yes
Kang et al., 2021	1	Pembrolizumab	No
Sogbe et al., 2021	1	Durvalumab	No
Tabrizian et al., 2021	9	Nivolumab	No
Lizaola-Mayo et al., 2021	1	Ipilimumab+Nivolumab	No
Abdelrahim et al., 2022	1	Atezolizumab	No
Schnickel et al., 2022	5	Nivolumab	No

On-going Trial:NCT04425226

Title	Safety and Efficacy Study of Pembrolizumab in Combination With LENvatinib in Participants With Hepatocellular Carcinoma (HCC) Before Liver Transplant as Neoadjuvant Therapy--PLENTY Randomized Clinical Trial
Outcome	Recurrence-Free Survival (RFS) Objective Response Rate (ORR) Disease Control Rate (DCR) Adverse Event (AE)
Status	Done Recruiting
Sponsor	Renji Hospital

Efficacy: Response Rate and Recurrence

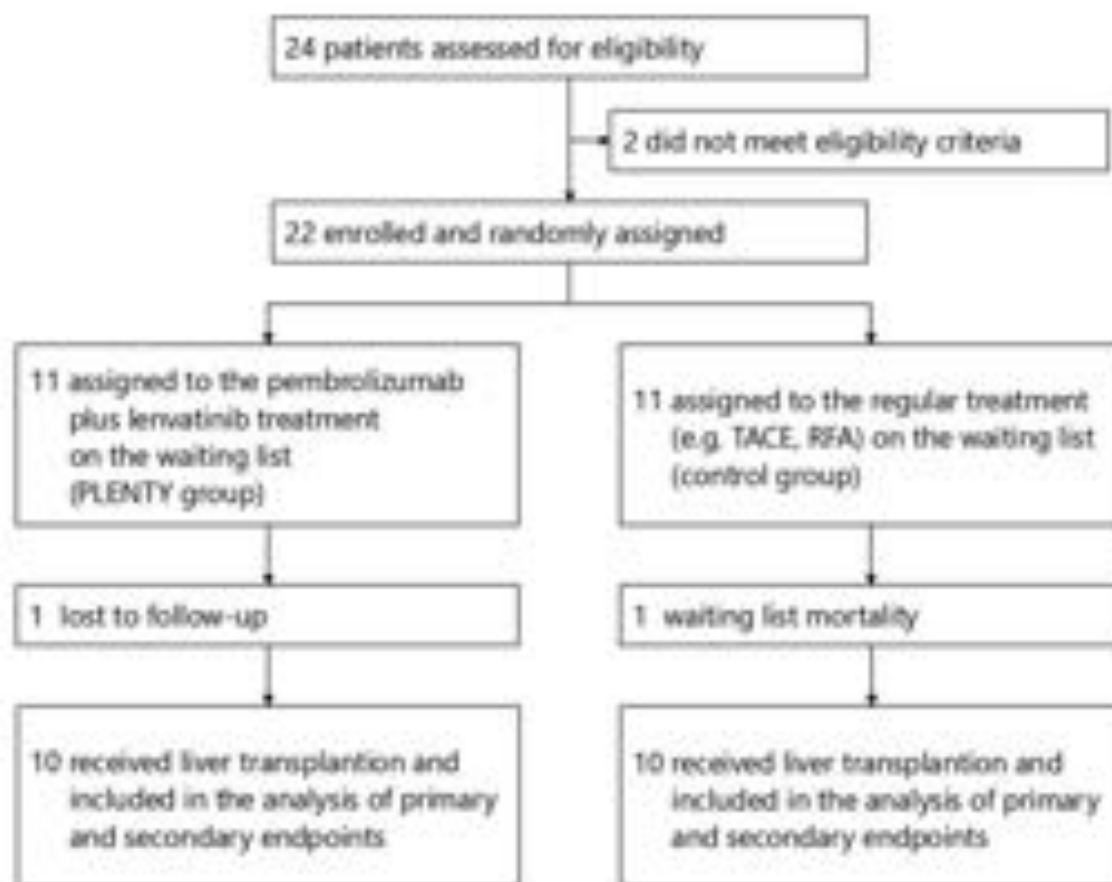


Figure 1. Overview of the trial

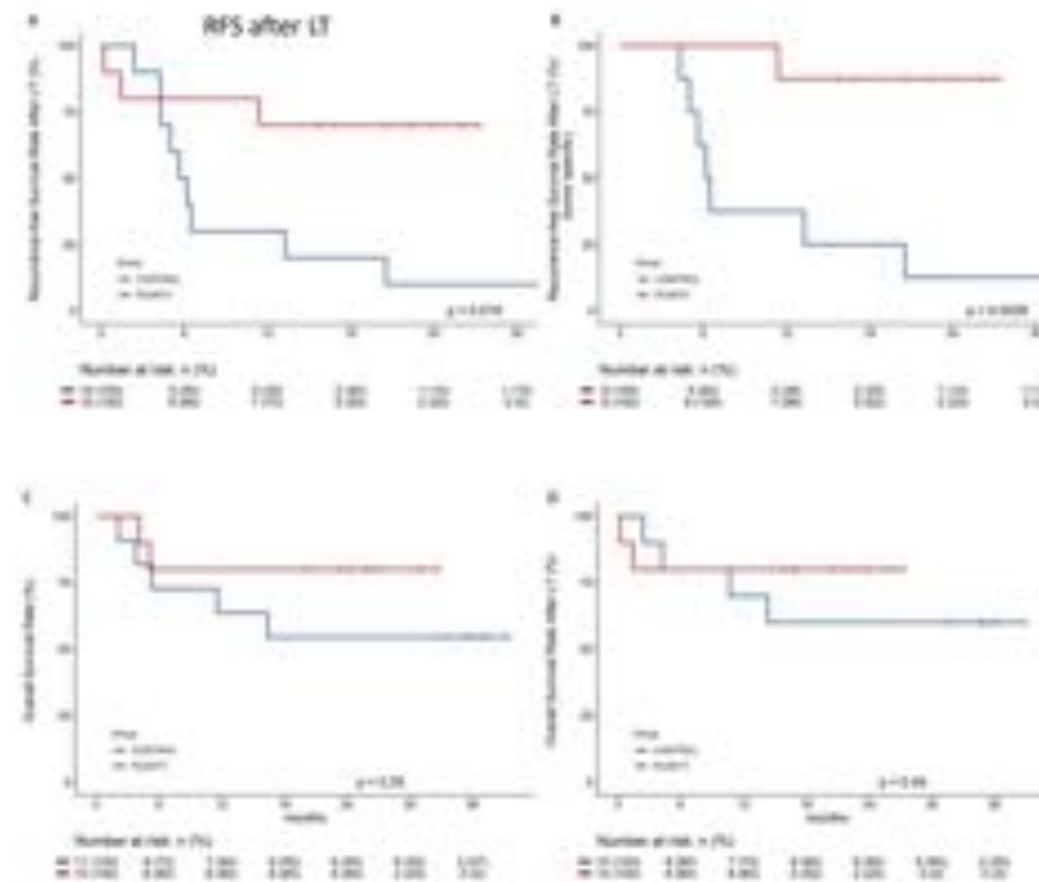


Figure 2. Survival and recurrence outcomes

Feb. 3rd, 2020-Sep. 5th, 2021

EASL 2023,
Poster TOP-051

Efficacy: Response Rate and Recurrence

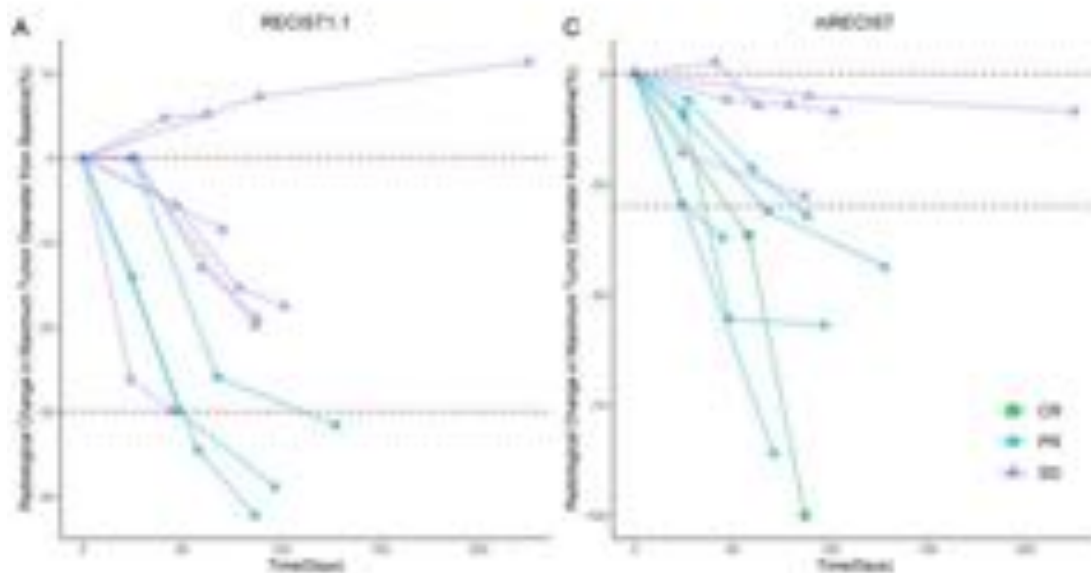


Figure 3. Spider plot showing tumor responses

- No AR occurred in either group
- No grade 4 or 5 AE
- Grade 3 AE: 30%

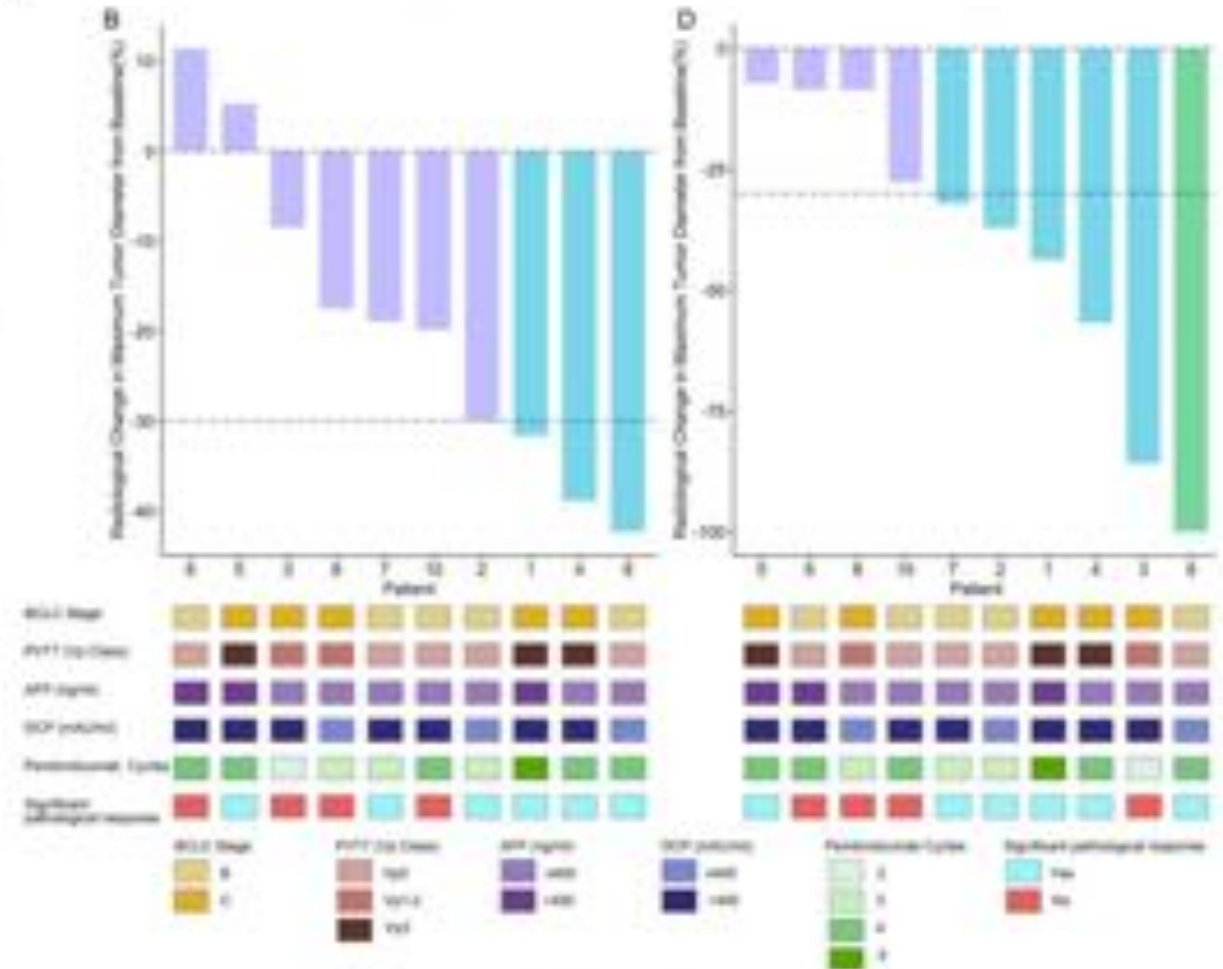
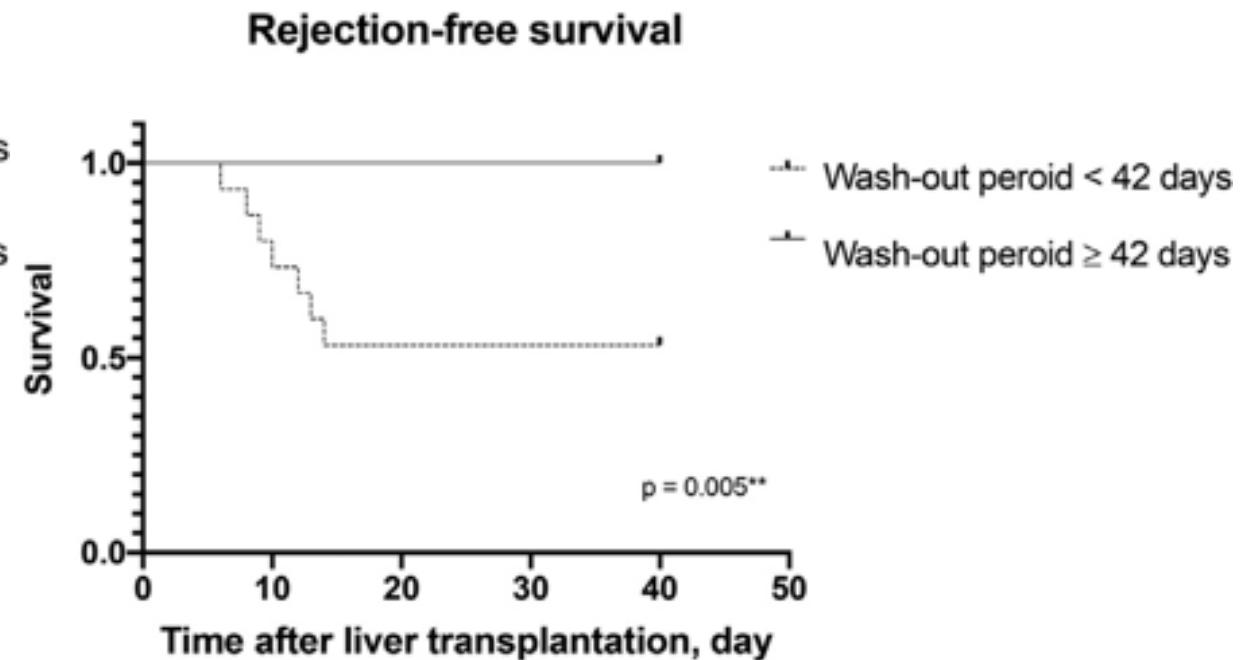
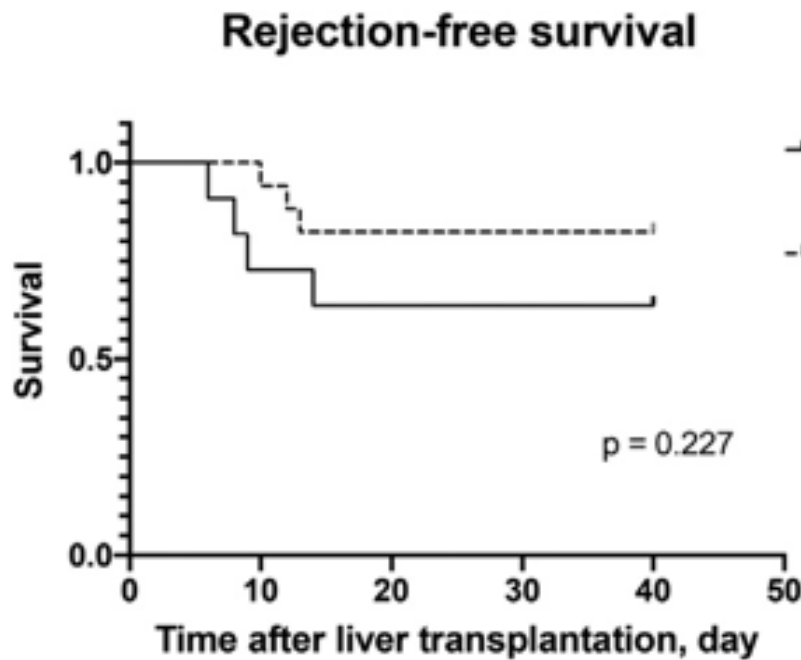


Figure 4. Waterfall plot showing tumor responses

Peri-Transplantation Management



- ❑ **Prolonged interval between last ICI and LT decreased the risk of rejection**



RFS showed **no difference** for an interval of 28 d

RFS showed **significant difference** for an interval of 42 d

**We suggest the interval between last ICI dose and LT more than
42 days ($1.5 \times$ half-life of ICIs)**

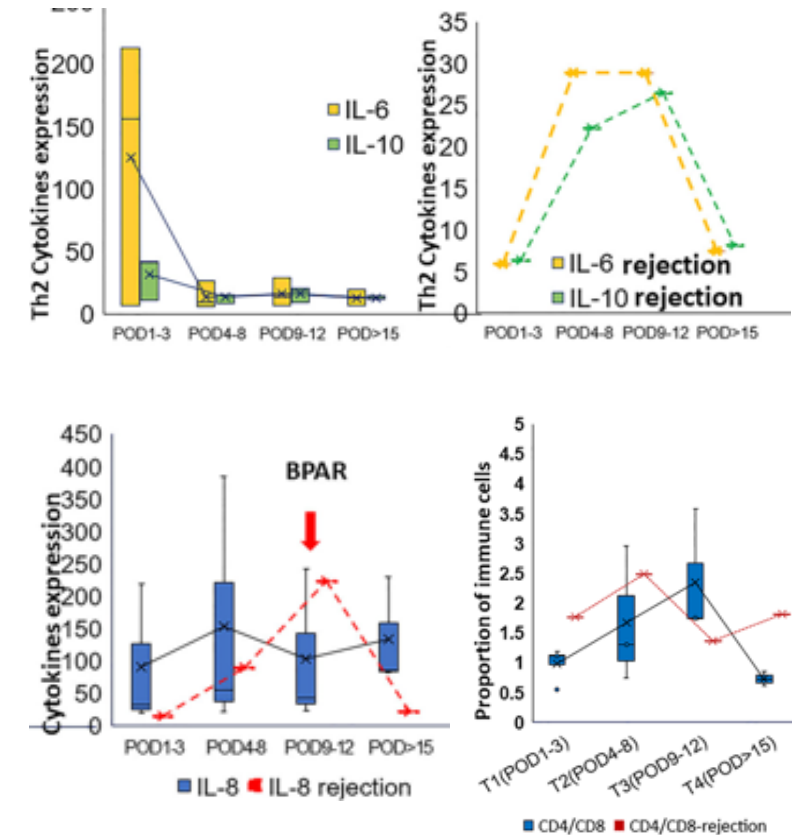
Peri-Transplantation Management



□ **Early detection** of allograft rejection improves treatment outcome

- Allograft PD-L1 expression (biopsy)
- CD4/CD8 ratio in lymph node
- IL-6
- IL-8
- IL-10
- etc.

May be the immunological **biomarkers** for predicting rejection





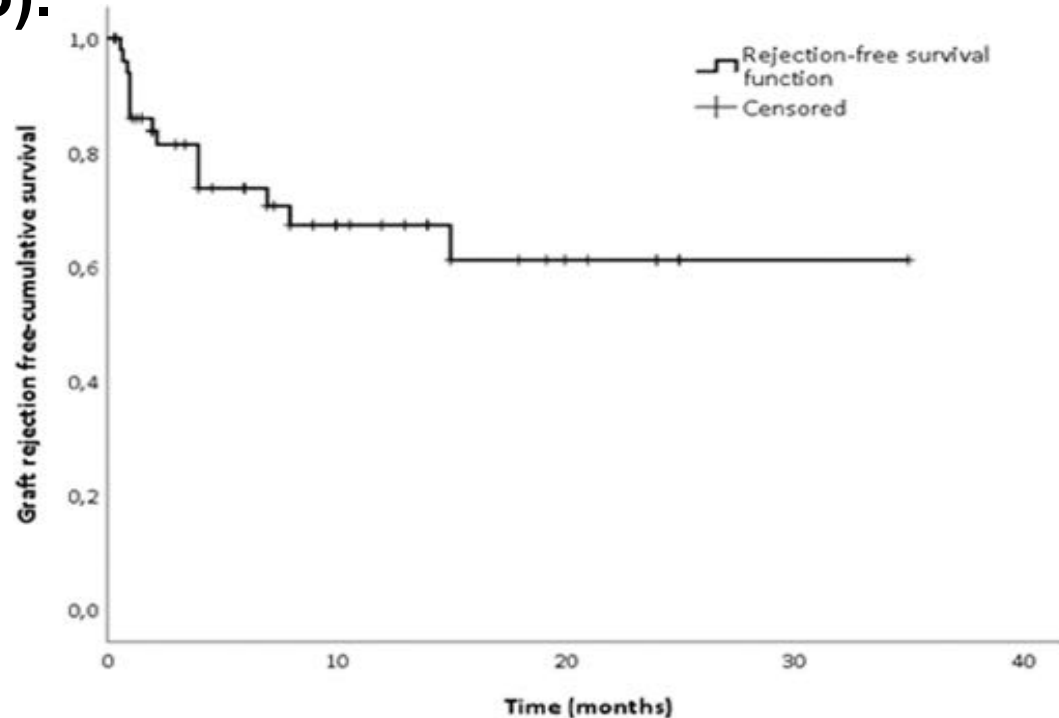
Section 3

ICIs for Malignancies *After* Liver Transplantation

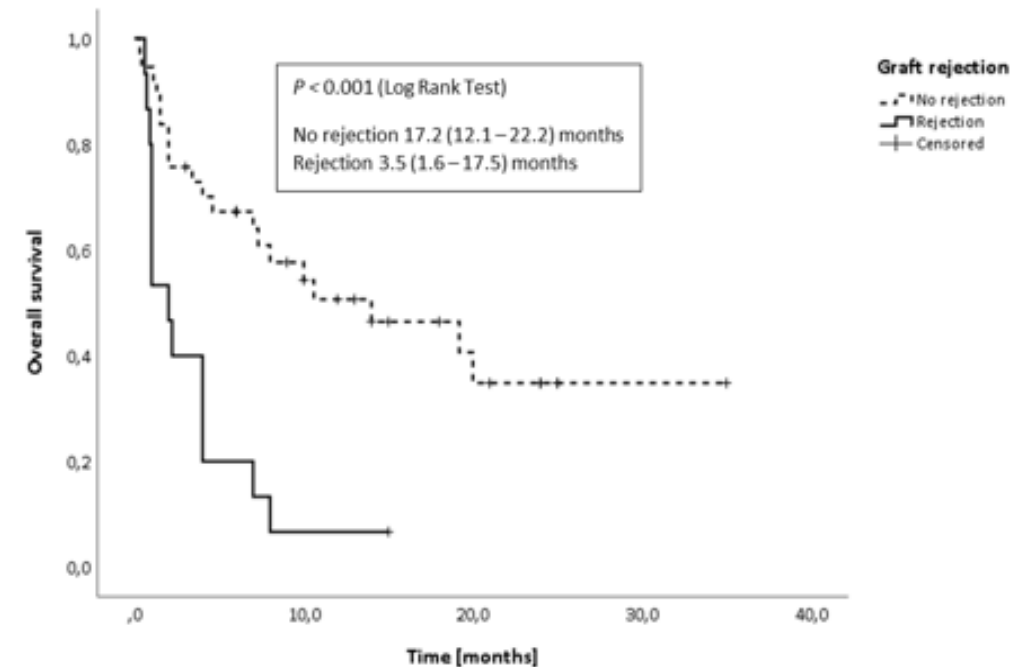
Safety: Allograft Rejection (AR)



Acute graft rejection occurred in **15 out of 52 (28.8%) patients** who received post-LT ICIs, resulting in **poorer OS** (3.5 (1.6–17.5) mo vs 17.2 (12.1–22.2) mo).



Time (Month)	0	5	10	15	20	25	30	35
N ^a at Risk	52	27	17	10	6	2	2	0



Time (Month)	0	5	10	15	20	25	30	35
Non-responder (n = 37)								
N ^a at Risk	37	24	16	9	6	2	1	0
Responder (n = 15)								
N ^a at Risk	15	3	1	0	0	0	0	0

Safety: Allograft Rejection (AR)



ICIs-related allograft rejection can be **fatal**

Overall ICIs	Organ of transplant rejection	No	No of death	Percentage
Nivolumab (n = 96)	Kidney	54	20	37.74%
	Liver	20	16	80.00%**
	Heart	5	1	20.00%
	Lung	1	1	100.00%
	NA	16	2	12.50%
	Total	96	40	41.67%*
Pembrolizumab (n = 41)	Kidney	24	5	21.74%
	Liver	7	4	57.14%**
	Heart	1	0	0.00%
	NA	9	0	0.00%
	Total	41	9	21.95%*
Cemiplimab (n = 7)	Kidney	5	2	40%
	NA	2	0	0.00%
	Total	7	2	28.57%
Avelumab (n = 1)	Kidney	1	0	0.00%
	Kidney	5	2	40.00%
Ipilimumab (n = 6)	Liver	1	0	0.00%
	Total	6	2	33.33%
Nivolumab + Ipilimumab (n = 8)	kidney	8	0	0.00%
Pembrolizumab + Ipilimumab (n = 7)	Kidney	5	1	20%
	NA	2	0	0.00%
	Total	7	1	14.29%
Pembrolizumab + Nivolumab (n = 2)	Kidney	2	0	0.00%
	Total	168	54	32.14%

*p < 0.05, the total percentage of death of Nivolumab is higher than that of Pembrolizumab.; **p < 0.05, the percentage of death of liver transplant rejection is higher than that of kidney transplant rejection.

- **71.4%** of patients who had ICI-related AR were caused **death**, according to Cui et al. through the search of FAERS database.
- Median time **from ICI initiation to AR** was **12 (5–45) days**, according to Luo et al.

Risk Factors of ICIs-Related AR



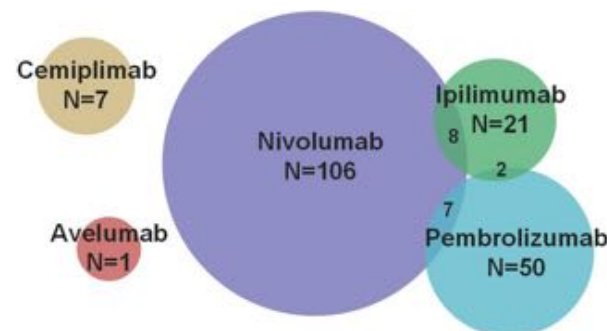
□ With limited evidences, **PD-1 inhibitors** exhibited **higher** rejections risks

Target Category	Drug	No. of Patients	No. of Rejections	Rejection Rate
PD-1	Nivolumab	20	7	8/28
	Pembrolizumab	6	1	
	Camrelizumab	1	0	
	Toripalimab	5	0	
PD-L1	Atezolizumab	2	0	0/2
CTLA-4	Ipilimumab	5	1	1/5

Jiang et al., *Front. Immunol.*, **2023**, 14, 1092401

Abboud et al., *Cancers*, **2023**, 15, 1433

Similar conclusion was drawn by Cui et al in the study of SOTRs.

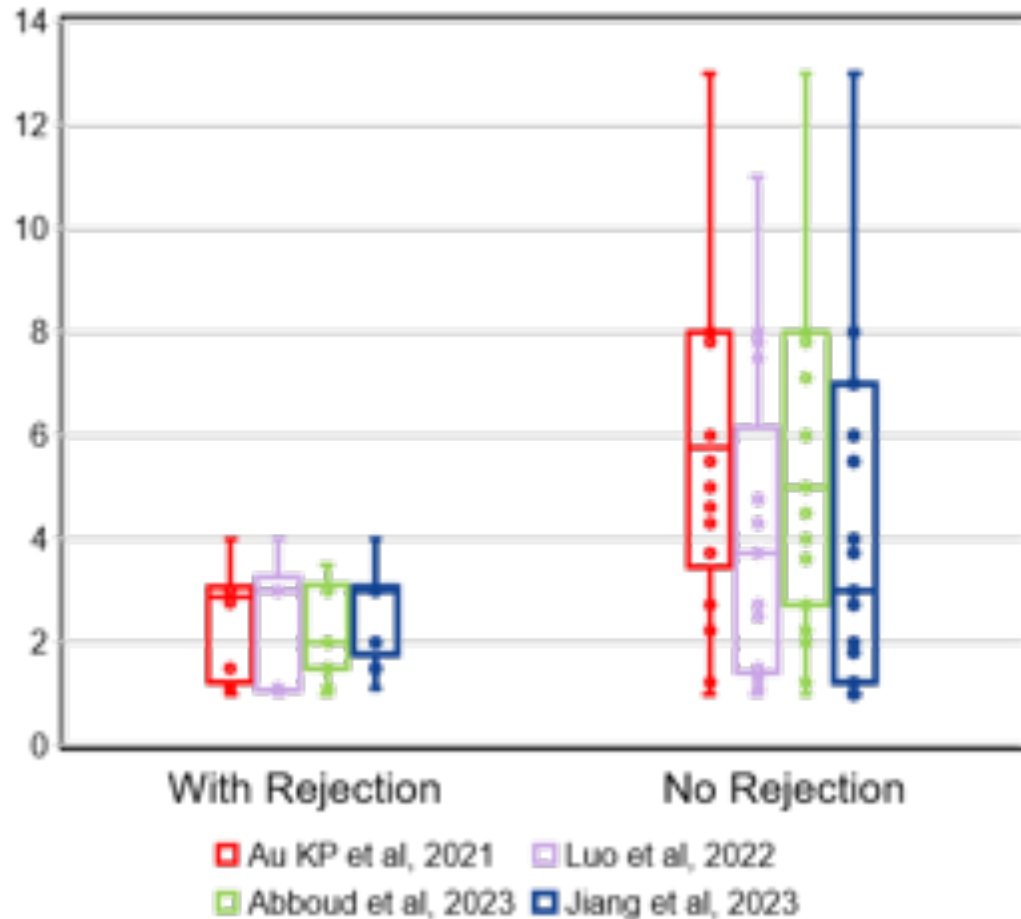


Cui et al., *Cancer Medicine*, **2023**, 12, 5181

Risk Factors of ICIs-Related AR



- Longer interval since LT is probably associated with lower risks of AR



Ref.	n	LT–ICI interval (Rejection) (yrs)	LT–ICI interval (No Rejection) (yrs)	p
Au KP <i>et al</i>	28	2.9 (1.2–3.1)	5.3 (2.7–8.0)	0.02
Luo <i>et al</i>	29	2.5 ± 1.2	4.0 ± 2.5	0.191
Abboud <i>et al</i>	35	2 (1.4–3.2)	4.55 (2.33–7.95)	*
Jiang <i>et al</i>	41	2.52	4.65	*

Au KP et al., *World J Gastrointest Surg*, 2021, 13, 1267

Luo et al., *World J Gastrointest Oncol*, 2022, 14, 163

Abboud et al., *Cancers*, 2023, 15, 1433

Jiang et al., *Front. Immunol.*, 2023, 14, 1092401

Risk Factors of ICIs-Related AR



□ Higher allograft **PD-L1 expression level** indicated higher risk of rejection

No	Graft PD-L1 Status	Rejection	Time to Rejection
1	+	Yes	2.5 weeks
2	+	Yes	1 week
3	0%	No	-
4	0%	No	-
5	30%	Yes	0.9 months
6	0%	No	-
7	25%	Yes	0.7 months

All the 4 **PD-L1 (+)** recipients occurred ICIs-related rejection, while the **PD-L1 (-)** recipients did **not**.

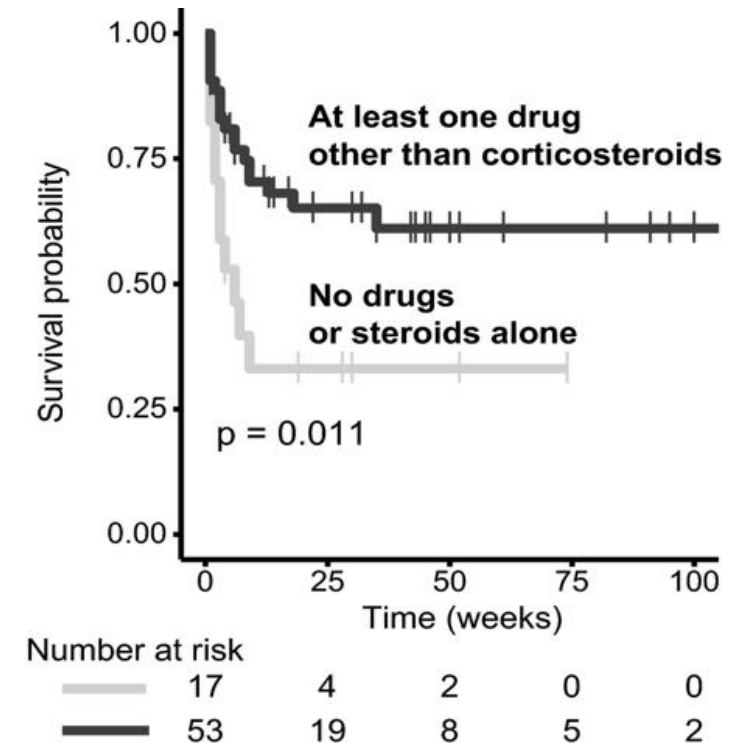
Risk Factors of ICIs-Related AR



□ Immunosuppressant regimens may affect the occurrence of AR

Baseline regimes before ICI initiation

Immunosuppressant	Allograft Rejection (%)	Tumor Response (%)	Median OS (months)
<i>All patients</i>	15/38 (40%)	15/32 (47%)	
Prednisone (≤ 10 mg/d)	7/9 (78%)	5/8 (63%)	5.8 (0.75–19)
mTOR inhibitors	2/3 (67%)	1/2 (50%)	7.3 (1.3–10.0)
Calcineurin inhibitors	1/9 (11%)	2/8 (25%)	3.8 (1–26.7)
Combination therapy	5/17 (29%)	7/14 (50%)	3.2 (1–17.0)



Safety: Other Side Events



Patients reporting at least 1
irAE—n (%)

Dermatitis	4
Pneumonitis	3
Colitis	3
Hepatitis	3
Constitutional symptoms	2
Autoimmune hemolytic anemia	1
Arthralgia	1
Diarrhea	1
Infusion reaction	1
Pruritus	1
Thyroiditis	1

Frequency of irAE depending on CPI regimen—n (%)

Anti-PD-1/PD-L1	10/61 (16.4)	P = .460
Anti-CTLA-4	4/13 (30.8)	
Combination	1/9 (11.1)	

Frequency of irAE depending on immunosuppression—n (%)

Corticosteroids	10/50 (20.0)	P = .772
Calcineurin inhibitors	4/34 (11.8)	P = .257
mTOR inhibitors	8/30 (26.7)	P = .146
Antimetabolites	4/21 (19.0)	P = 1
At least 1 drug other than corticosteroids	12/64 (18.8)	P = 1
Modification of immunosuppressive regimen before CPI use	8/36 (28.6)	P = .730

Other immune-related adverse events (**irAEs**), such as:

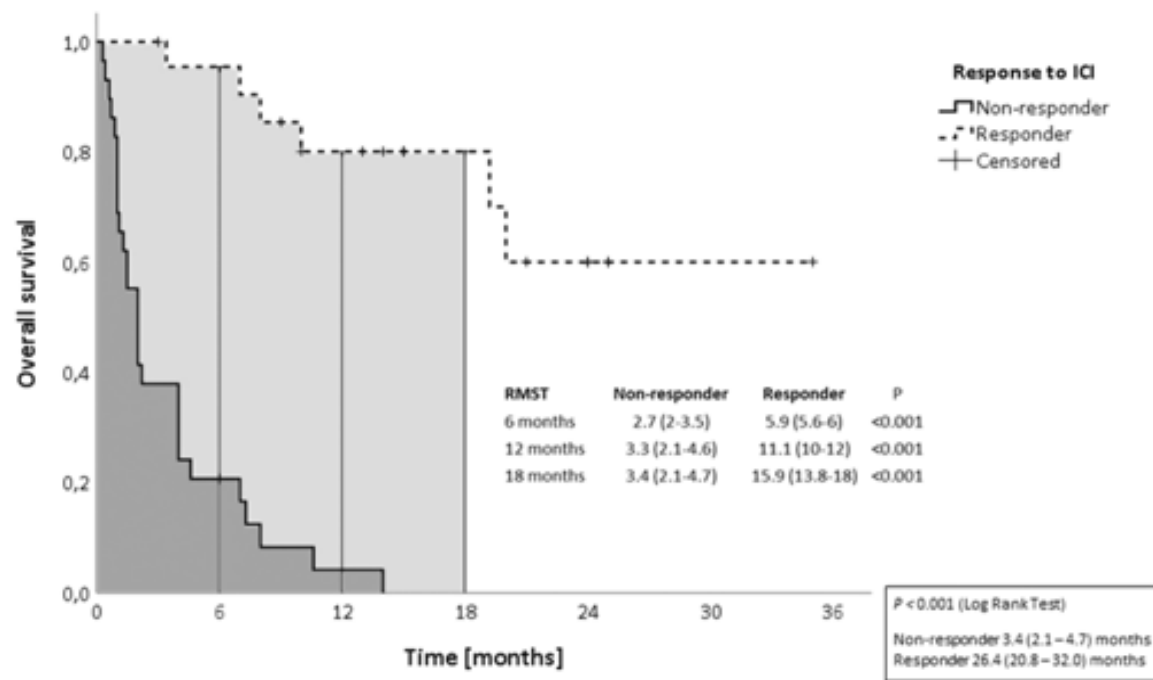
- biliary stricture,
- grade 1/2 transaminitis,
- chills,
- fatigue,
- fever,
- etc.

may occur, but are **neither ICI-specific nor immunosuppressant-specific.**

Efficacy: Tumor Response and Survival



23/52 (DCR: 44.2%) patients responded to ICIs, resulting in better outcomes



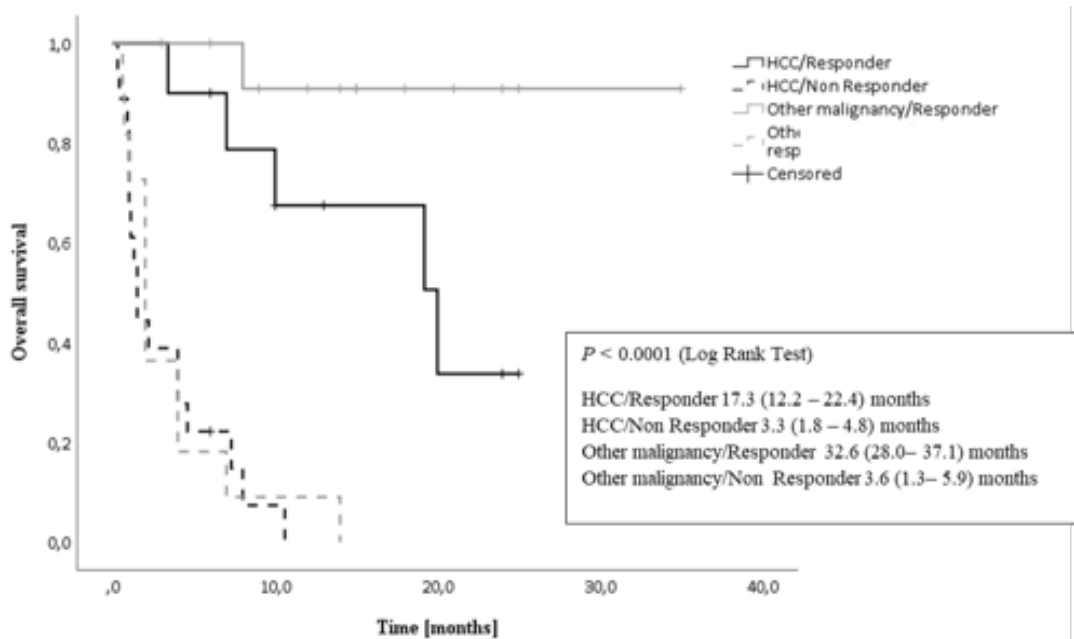
Time (Month)	0	5	10	15	20	25	30	35
Non-responder (n = 29)								
N* at Risk	29	6	2	0	0	0	0	0
Responder (n = 23)								
N* at Risk	23	21	15	10	6	2	1	0

Outcome	Non-responders (n=29)	Responders (n=23)	p
OS (months)	2.0 (1.0–4.0)	14.0 (8.0–21.0)	<0.0001
PFS (months)	0 (0–0)	11.0 (4.0–18.0)	<0.0001
Early Mortality	9 (31.0%)	0	0.006
Death	28 (96.6%)	6 (26.1%)	<0.0001

Influence Factors of ICI Efficacy



- ❑ The efficacy of ICIs showed **no significant difference** between the treatment of recurrent HCC and *de novo*/other malignancies



Parameters	Non-responders	Responders	p
	n = 29	n = 23	
Gender (male)	22 (75.9)	18 (78.3)	.838
Age (years)	63 (53–66)	59 (54–65)	.599
Therapeutic approach (n)	3 (2–4)	3 (2–4)	.674
LT to ICI treatment (years)	3 (1–5)	6 (4–11)	.003
Time from cancer diagnosis to ICI (months)	15.0 (5.0–20.0)	8.5 (4.0–18.0)	.584
Death	28 (96.6)	6 (26.1)	<.0001
Early mortality	9 (31.0)	0 (0)	.006
Overall survival (months)	2.0 (1.0–4.0)	14.0 (8.0–21.0)	<.0001
Progression-free survival (months)	0 (0–0)	11.0 (4.0–18.0)	<.0001
Graft rejection (present)	14 (48.3)	1 (4.3)	.001

- ❑ **Longer interval between LT and ICI initiation** resulted in higher response rate

Influence Factors of ICI Efficacy



□ The efficacy showed no difference between **3 types of ICIs**

	PD-L1 inhibitors	CTLA-4 inhibitors	PD-1 inhibitors				<i>p</i>	
	Atezolizumab/ Bevacizumab	Ipilimumab	Cemiplimab	Pembrolizumab	Nivolumab	Total	<i>p</i>	
<i>n</i>	1	5	2	15	29	46		
Response	1 (100%)	4 (80%)	1 (50%)	9 (60%)	8 (28%)	18 (39%)	0.070	0.114
OS (months)	10.0	13.0 (9.0–14.0)	5.0 (2.0–8.0)	8.0 (3.0–19.2)	3.4 (1.3–7.3)	4.3 (1.5–12.0)	0.214	0.216
PFS (months)	6.0	4.0 (3.0–5.0)	0 (0–0)	7.2 (0–12.4)	0 (0–3.0)	0 (0–9.2)	0.207	0.475
Death	1 (100%)	1 (20%)	2 (100%)	7 (47%)	23 (79%)	32 (70%)	0.027	0.066

Decision on Post-LT Use of ICIs



Risks and benefits of ICIs should be carefully evaluated for post-LT patients

		Immunological risk	
		High	Low
Oncological benefit	High	Prescription of ICI with extreme caution LT performed <12 months ago. Young women, autoimmune disease, preformed or de novo DSA, previous episodes of rejection, baseline altered transaminases, elevated transient elastography or subclinical rejection in the liver biopsy. Strict surveillance of liver tests and early withdrawal of ICI even with mild alterations, followed by liver biopsy and steroids.	Prescription of ICI allowed LT performed >12 months ago. Older patients without DSA and persistently normal transaminases. Absence of fibrosis in transient elastography and/or liver biopsy. Regular follow-up including frequent transaminases testing. Management of liver side effects according to the algorithm provided.
	Low	Prescription of ICI strongly discouraged ICIs have not demonstrated superiority in terms of survival and quality of life compared with other therapeutic options in well-designed RCT. Second line therapy available with comparable efficacy. Patients with poor performance status (ECOG ≥ 2) or severe comorbidities. Consider alternative therapies or palliative care as appropriate.	Consider alternative therapies or palliative care as appropriate

We suggest:

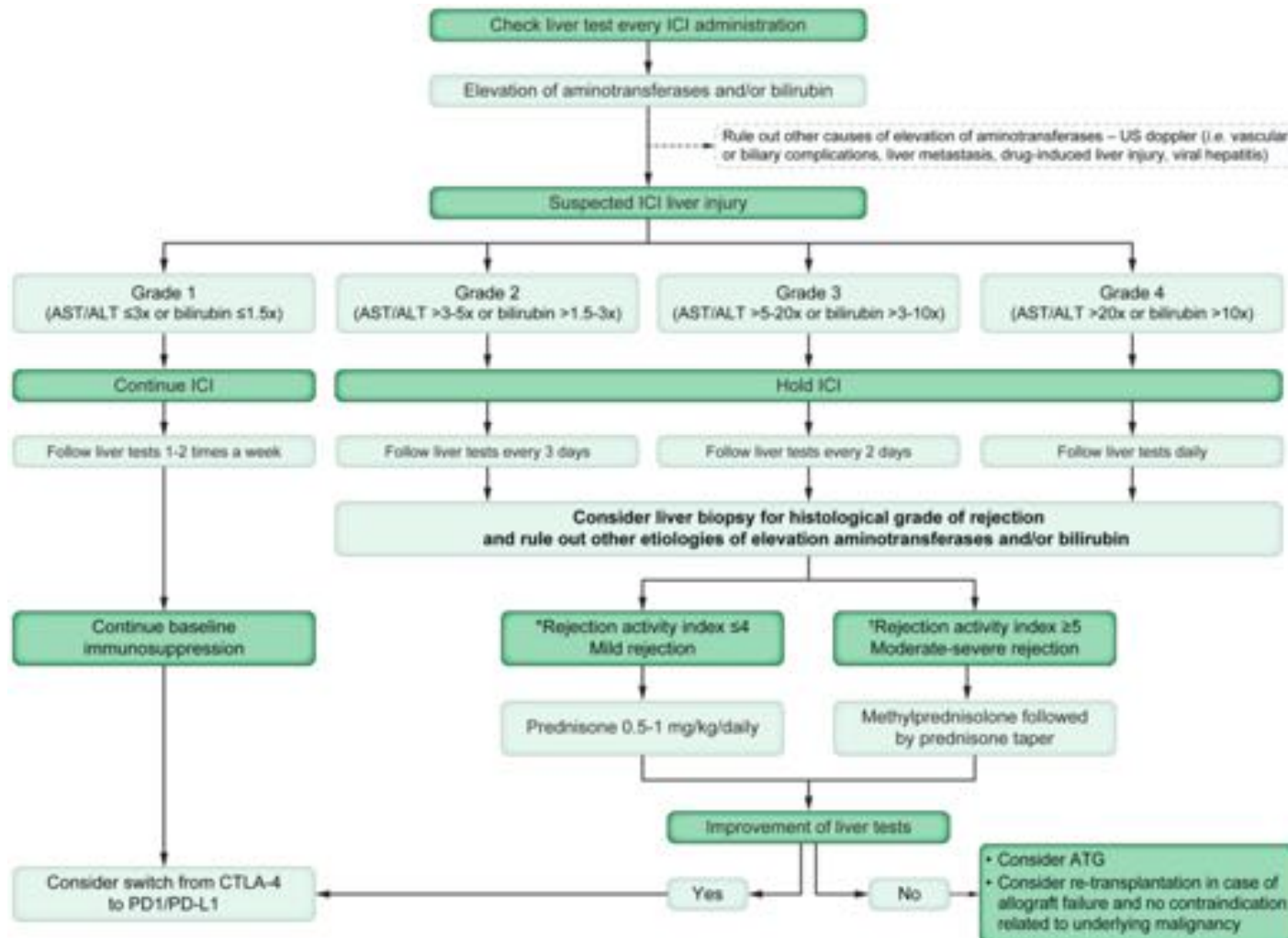
- **Assessment of allograft biopsy**
- **Longer interval since LT is favored**
- **A rational and dynamic combination of ICIs and immunosuppressants**

Decision table suggested by Montano-Loza *et al.*

Management of Post-LT Use of ICIs



Diagnosis and treatment algorithm for liver toxicity secondary to ICIs, suggested by Montano-Loza et al.



Despite most of the ICI-related AR were **cellular mediated**,

- High dose of steroids
- Plasmapheresis
- Antithymocyte globulin
- Infliximab

may also help the treatment.

On-going Clinical Trials



Further research are in urgent need for the better evaluation and guidance of the use of ICIs for malignancies after LT.

NCT03966209

Title

Evaluation of PD-1 Inhibition in Patients With Recurrent Hepatocellular Carcinoma After Liver Transplantations

Design

Single Group Assignment

Status

Recruiting

Sponsor

Shanghai Zhongshan Hospital

NCT04564313

Title

Clinical Study of Anti-PD-1 Antibody Camrelizumab in the Treatment of Recurrent Hepatocellular Carcinoma After Liver Transplantation

Design

Single Group Assignment

Status

Recruiting

Sponsor

Third Affiliated Hospital, Sun Yat-Sen University

NCT04425226

Title

Pembrolizumab and LENvatinib in Participants With Hepatocellular Carcinoma (HCC) Before Liver Transplant

Design

Parallel Assignment

Status

Done Recruiting

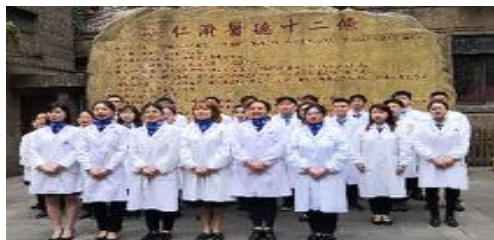
Sponsor

RenJi Hospital

Sum-Up



- 1** **ICIs** are promising neoadjuvant therapy in patients undergo LT.
- 2** **Safety** and **efficacy** of ICI should be carefully evaluated in LT patients, balancing tumor response and allograft rejection.
- 3** Larger scale of clinical trials are in urgent need for better explicit of **risk factors**, **predictive biomarkers** and **management strategies** for the ICI use in peri-LT patients.



Thank You